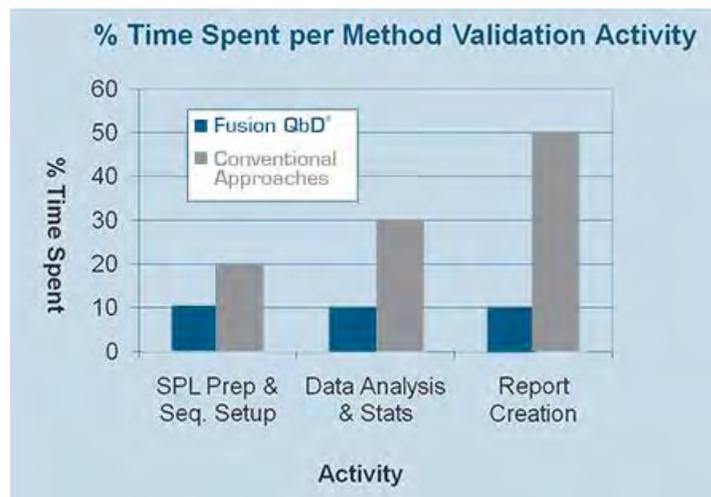


Analytical Method Validation



The Only Software That Has It All!

- Calculations and reporting meet all current FDA/ICH/USP validation guidances – including the new USP <1210>!
- Can be used for LC and Non-LC methods (e.g. GC, CE, Q-NMR)!
- Automates LC method validation experiments on multiple instruments and CDS systems!
- Regulatory accepted validation for both Small & Large Molecules!
- Statistically rigorous and defensible robustness testing!
- Handles multiple compounds – creates complete reports for each!
- Shortens your LC method validation time by as much as 75%!



Automated Experimentation for LC Method Validation

The objective of Method Validation is to provide documented evidence and a high degree of assurance that an analytical method employed for a specific test is suitable for its intended use. Method Validation is a regulatory requirement as much as a scientific necessity.

Key Benefits

- Full Automation for LC Method Validation – multiple LCs and CDS systems
- Aligned with FDA and ICH guidances
- 21 CFR 11 compliance support toolset –
Including E-records and E-signatures, full audit logging
Workflow management system with E-review and E-approve loops
- Easy setup of experiments –
Create standardized workflow templates
Facilitate rigorous practice and defensibility
- Simple documentation review and reporting –
Easy to defend and communicate
Reports meet all FDA and ICH guidelines

Method Validation Experiment Suite

- ◆ Analytical Capability and System Suitability
- ◆ Specificity
- ◆ Filter Validation
- ◆ Sample Solution Stability (stability for a given time period under prescribed conditions)
- ◆ Accuracy
- ◆ Linearity and Range
- ◆ Repeatability (intra-assay precision)
- ◆ Accuracy/Linearity and Range/Repeatability – Combined Design
- ◆ [ICH-Q2(R1) – Accuracy, Linearity, and Repeatability can be done together as a single combined experiment]
- ◆ LOQ, LOD
- ◆ Intermediate Precision and Reproducibility (USP Ruggedness)
- ◆ Robustness – done the right way!

Non-LC Method Validation Experiments

Used successfully for Non-LC methods such as GC, CE, Q-NMR, as well as hyphenated methods (e.g. LC-MS). Accepted in customer regulatory submittals.

Automated LC Method Validation – Five Step Workflow

1. You complete a simple experiment setup template.
2. Fusion QbD creates the Validation Experimental Design and exports it to the CDS.
3. The CDS runs the validation experiment sequence.
4. Fusion QbD imports and analyzes the results.
5. Fusion QbD automatically creates final reports and graphs.

Example Workflow – Combined Accuracy / Linearity / Repeatability

Step 1 – You Complete the Simple Template

Fusion LC Method Validation Software (FMV) has simple experiment setup templates for each type of validation experiment. The simple Linearity and Range template is shown below with user definable settings:

User-definable Settings – Basic Setup

- No. of Compounds
- No. of Levels per Compound
- 100% Standard Level
- Compound Name, Units, and Levels

Compound Name	Units	Level Settings
API	mg	Level 1: 80
		Level 2: 90
		Level 3: 100
		Level 4: 110
		Level 5: 120

User-definable Settings – Standards Setup

FMV has a flexible Standards Setup wizard which enables you to select your desired standards strategy for results quantitation within the CDS:

- Bracketing – Overlap
- Bracketing – Non-overlap
- Grand Average
- Calibration and Check Standards
- Multi-level Bracketing – Overlap

Run No.	API	Impurity A	Impurity B
1	CAL - L1	--	--
2	CAL - L2	--	--
3	CHK - 1a	100	100
4	1.a	90	80
5	1.b	80	80
6	1.c	80	80
7	CHK - 1b	100	100
8	2.a	90	90
9	2.b	90	90
10	2.c	90	90
11	CHK - 1c	100	100

Step 2 – Fusion QbD Creates the Validation Experimental Design and Exports it to the CDS

FMV automatically constructs the validation experiment designs within the CDS as ready-to-run sequences/sample with the proper Vial No. and Injection Type designations for Samples, Standards, and Blanks.

Name: Administrator
Company: S-Matrix Corporation
Project: Project 1
Date: 08 APR 2018 19:31:59 PDT [UTC-07:00]

Experiment Design - Experiment 1

Experiment Design Matrix

Run No.	API (mg)	Impurity A (%)	Impurity B (%)
CAL-L1.1	---	---	---
CAL-L2.1	---	---	---
CHK-1.a	100	100	100
1.a	80	80	80
1.b	80	80	80
1.c	80	80	80
CHK-1.b	100	100	100
2.a	90	90	90
2.b	90	90	90
2.c	90	90	90
CHK-1.c	100	100	100
3.a	100	100	100
3.b	100	100	100
3.c	100	100	100
CHK-1.d	100	100	100

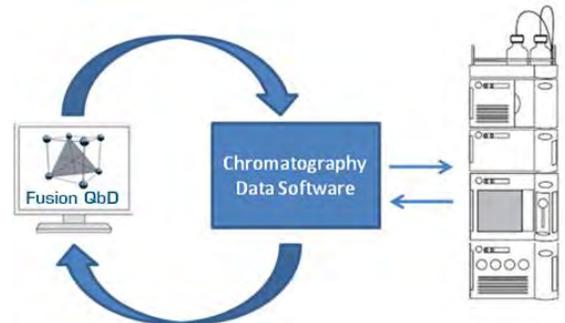


Example Sample Set in S-Matrix/FMD Screening Expt as System Administrator - Sample Set Method Editor

Run	Peak/Vial	PI Vial (uL)	# of Vials	Label	Sample Name	Function	Method Set / Report Method	Run Time (Minutes)	Data Start (Minutes)	Heat Up Delay (Minutes)	Column Position	Injection
1					Condition Column	Example Sample Set 001_007	Example Sample Set 001_007	8.00	0.00	0.00	Position 1	
2					Condition Column	Example Sample Set 001_006	Example Sample Set 001_006	8.00	0.00	0.00	Position 2	
3					Condition Column	Example Sample Set 001_006	Example Sample Set 001_006	8.00	0.00	0.00	Position 3	
4					Condition Column	Example Sample Set 001_100	Example Sample Set 001_100	8.00	0.00	0.00	Position 4	
5					Condition Column	Example Sample Set 001_101	Example Sample Set 001_101	8.10	0.00	0.00	Position 1	
6					Equilibrate	Example Sample Set 001_101	Example Sample Set 001_101	10.00	0.00	0.00	No Change	
7	1.A.1	1.0	1	UUI-000-000	Blank - 1	Inject Samples	Example Sample Set 001_101	11.80	0.00	1.50		1.00000
8					Condition Column	Example Sample Set 001_102	Example Sample Set 001_102	8.10	0.00	0.00	Position 2	
9					Equilibrate	Example Sample Set 001_102	Example Sample Set 001_102	3.00	0.00	0.00	No Change	
10	1.A.1	1.0	1	UUI-000-000	Blank - 2	Inject Samples	Example Sample Set 001_102	11.80	0.00	1.50		1.00000
11					Condition Column	Example Sample Set 001_103	Example Sample Set 001_103	8.10	0.00	0.00	Position 3	
12					Equilibrate	Example Sample Set 001_103	Example Sample Set 001_103	3.00	0.00	0.00	No Change	
13	1.A.1	1.0	1	UUI-000-000	Blank - 3	Inject Samples	Example Sample Set 001_103	11.80	0.00	1.50		1.00000
14					Condition Column	Example Sample Set 001_104	Example Sample Set 001_104	8.10	0.00	0.00	Position 4	
15					Equilibrate	Example Sample Set 001_104	Example Sample Set 001_104	3.00	0.00	0.00	No Change	
16	1.A.1	1.0	1	UUI-000-000	Blank - 4	Inject Samples	Example Sample Set 001_104	11.80	0.00	1.50		1.00000
17					Condition Column	Example Sample Set 001_001	Example Sample Set 001_001	8.10	0.00	0.00	Position 1	
18					Equilibrate	Example Sample Set 001_001	Example Sample Set 001_001	3.00	0.00	0.00	No Change	
19	1.A.2	1.0	1	UUI-001-001	1	Inject Samples	Example Sample Set 001_001	11.80	0.00	1.50		1.00000
20					Condition Column	Example Sample Set 001_002	Example Sample Set 001_002	8.10	0.00	0.00	No Change	
21					Equilibrate	Example Sample Set 001_002	Example Sample Set 001_002	3.00	0.00	0.00	No Change	
22	1.A.2	1.0	1	UUI-001-002	2	Inject Samples	Example Sample Set 001_002	8.00	0.00	1.50		1.00000
23					Condition Column	Example Sample Set 001_003	Example Sample Set 001_003	8.10	0.00	0.00	Position 2	
24					Equilibrate	Example Sample Set 001_003	Example Sample Set 001_003	8.00	0.00	0.00	No Change	

Step 3 – CDS runs the Validation Experiment

FMV sequences run automatically on the CDS. FMV even enables you to include a Shutdown method as the last method run so that you can execute FMV sequences overnight while you sleep!



Step 4 – Fusion QbD Imports and Analyzes the Chromatogram Results

FMV automatically imports the required peak result data from the CDS, and re-maps the results to the design for automated analysis, graphing, and reporting. This is a key feature ensuring quality, as manual transcription is a common source of error and risk.

Enter Sample Preparation Data

Response Name	Response Units
Amount	mg
Run	
API 1 Target	
API 1 Actual	
API 2 Target	
API 2 Actual	
1 1.a	1.000 1.003 0.2500
2 1.b	1.000 1.011 0.2500
3 1.c	1.000 1.012 0.2500
4 2.a	2.000 1.995 0.3500
5 2.b	2.000 1.991 0.3500
6 2.c	2.000 2.004 0.3500
7 3.a	4.000 3.998 0.3600
8 3.b	4.000 4.002 0.3600
9 3.c	4.000 3.997 0.3600
10 4.a	5.000 5.005 0.4000
11 4.b	5.000 4.992 0.4000
12 4.c	5.000 5.009 0.4000
13 5.a	6.000 6.004 0.4500
14 5.b	6.000 6.007 0.4500
15 5.c	6.000 5.997 0.4500

Select Responses

Channel: PDA1.D1\25Nov01_2.m
 Import Chromatogram Trace Data

Trend Responses

Add	Operator	Value	Response
1	Min of Peak		1.A.1
2	Max of Peak		1.A.1
3	Min of Peak		1.B.1
4	Max of Peak		1.B.1
5	Max of Peak		1.C.1

Named Compounds in CDS

Available: A, B, C, D, E, F
Included: API, Impurity A, Impurity B

Response Data

Show All CDS Responses

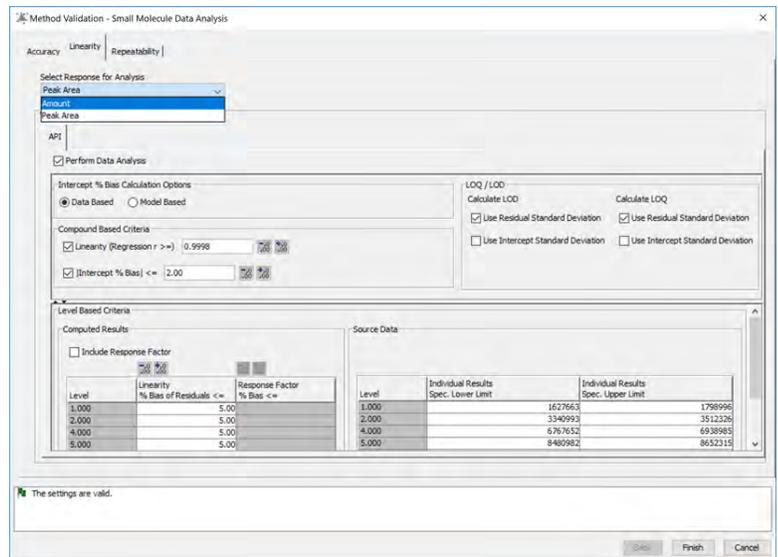
Available: 2ndDerivative Apex, 2Sigma, 3Sigma, 4Sigma, 5Sigma, AboveIdentificationThreshold, AboveMinimumThreshold, AboveQualificationThreshold, AboveResponseThreshold, Alarm, Alarm10
Included: Area, Amount

Select All | Select None | I = Incomplete | D = Duplicate

Back | Next >> | Cancel

Flexible Data Analysis Setup Wizard

- Associate different responses with different analyses – e.g.
 - Associate **Amount** results data with analysis of Accuracy
 - Associate **Area** results data with analysis of Linearity
- Include LOD and LOQ and select Calculation Method(s)
- Set Global and Level-specific Acceptance Criteria
- Include Level-specific Spec Limits for Raw Data



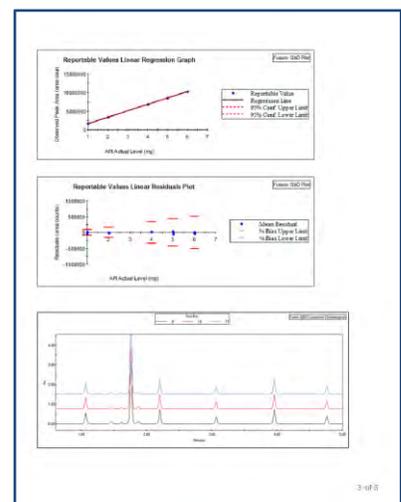
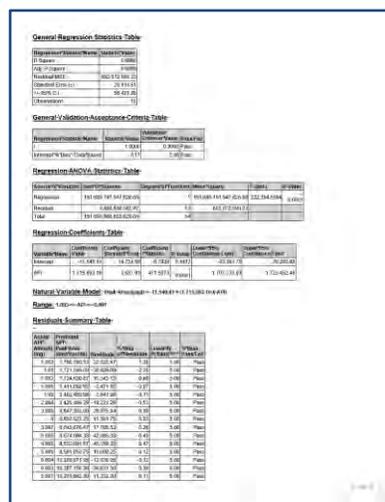
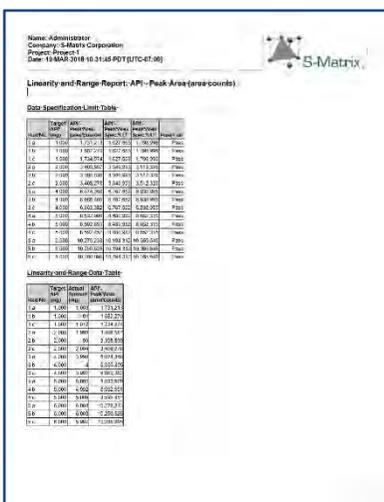
Step 5 - Fusion QbD Automatically Creates Final Reports and Graphs

ICH Q2(R1). LINEARITY

... If there is a linear relationship, test results should be evaluated by appropriate statistical methods, for example, by calculation of a regression line by the method of least squares...

The correlation coefficient, y-intercept, slope of the regression line, and residual sum of squares should be submitted. A plot of the data should be included...:

- Correlation Coefficient
- Y Intercept
- Slope of the Regression Line
- Residual Sum of Squares
- Linear Regression Plot
- Residuals Data Table and Plot



FMV also enables you to include images of representative chromatograms into your final reports.

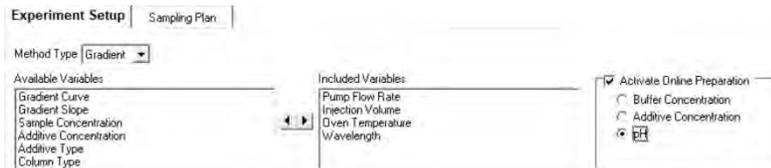
ICH Q2(R1):

For chromatographic procedures, representative chromatograms should be used to demonstrate specificity, and individual components should be appropriately labeled...

Robustness Validation – DONE RIGHT!

Experiment Setup – LC Robustness Example

You select the parameters to include in the **FMV** robustness experiment. **FMV** will automatically generate the robustness design, re-construct it in the CDS as ready-to-run methods and sequence, import the chromatogram results directly from the CDS, re-map them to the robustness study, and instantly analyze, graph, and report the results.



Pump Program

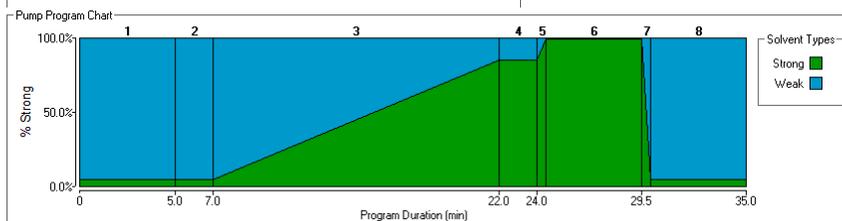
No. of Gradient Steps: 1 | Time Precision: 0.00 | 0.00

No.	Step Name	Time State	Time - Lower Bound	Time - Upper Bound	% Strong Solvent
1	Equilibration	Constant	2.0	---	5.0
2	Initial Hold	Constant	2.0	---	5.0
3	Gradient	Constant	15.0	---	---
4	Final Hold	Constant	2.0	---	85.0
5	Ramp Up to Wash	Constant	0.5	---	---
6	Column Wash	Constant	5.0	---	99.0
7	Ramp Down from Wash	Constant	0.5	---	---
8	Re-equilibration	Constant	5.0	---	5.0

Program duration = 35.0 minutes

FMV supports Validation Robustness studies for:

- Isocratic Methods
- Reversed Phase
- Chiral
- HILIC
- Gradient Methods
- Normal Phase
- Ion Exchange
- Size Exclusion



FMV provides visual displays to simplify setup for complex settings such as required pump program conditions and key settings for each included column such as pH upper limit and conditioning time.

The FMV Difference Lowers your Field Failure Risk

FMV robustness experiments let you use *valid experiment ranges for accurate, defensible estimates of parameter effects*.

This avoids the risks associated with setting ranges equal to the expected variation ranges of your instrument parameters.

FMV robustness analysis wizard lets you set:

- expected parameter variation ranges
- acceptable performance limits for each key response

The wizard then accurately determines and reports the method's true robustness.

Experiment Variable Maximum Expected Variation

Maximum Expected Variation:
 The ± 3 sigma value defines the "total" variation in the parameter (experiment variable) around its defined setpoint that is expected to occur on transfer and normal use due to statistically random error.

Expected Variation Interval Allowed (except for Each Variable)

NOTE: Use manufacturer's data for the tolerance interval. Do not use the expected variation interval for the tolerance interval.

Experiment Variable	Units	Maximum Expected Variation (± 3 Sigma Value)
Pump Flow Rate	mL/min	0.1
Final % Strong Solvent	%	2
Oven Temperature	°C	2

Buttons: Back, Next >>, Finish, Cancel

Response Settings for Robustness

Maximum Allowable Difference from Mean:
 The Maximum Allowable Difference limit values define the maximum differences from the mean for a given critical quality attribute (response) beyond which the response value is unacceptable. For the response to be considered robust in terms of the parameters evaluated, the variation in the response measurements obtained in normal use must be encompassed by the Maximum Allowable Difference limit values.

Tolerance Width Delta (\pm Distance)

LSP/USP/USP Resolution: Tolerance and Delta Limiting the maximum performance deviation. The tolerance interval must encompass the tolerance width.

Enabled	Response	Maximum Allowable Difference from Mean (\pm Value)
<input checked="" type="checkbox"/>	API - USP Resolution	0.5
<input checked="" type="checkbox"/>	API - Peak Retention Time	0.1

Buttons: Select All, Select None, << Back, Next >>, Finish, Cancel

Robustness Validation – Statistical Significance Testing – Model Coefficients

Robustness Report: API - Area (*)

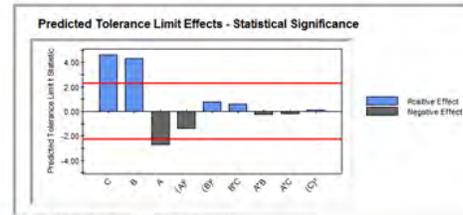
Coded Variable Name Key

Coded Variable Name	Actual Variable Name
A	Initial % Organic
B	Oven Temperature
C	pH

Variable Effects Table - Statistical Significance

Model Term	Robustness Testing Range (Coded)	Coefficient Value	Predicted Effect	Effect Standard Error	Effect t statistic	Pass/Fail
C	0.4000	161,391.4753	84,556.59	13,911.0838	4.6407	Fail
B	0.8000	74,520.8782	59,616.70	13,794.1818	4.3219	Fail
A	0.8000	-47,297.1750	-37,837.74	14,136.9455	-2.6765	Fail
(A) ²	0.1600	-124,093.0600	-19,854.89	14,136.9455	-1.4045	Pass
(B) ²	0.1600	64,847.5165	10,375.60	13,794.1818	0.7522	Pass
B ² C	0.1600	50,247.7248	8,039.64	13,714.4961	0.5862	Pass
A ² B	0.3200	-9,783.1120	-3,130.60	13,874.0259	-0.2256	Pass
A ² C	0.1600	-13,383.4646	-2,141.35	14,022.6463	-0.1527	Pass
(C) ²	0.0400	32,821.4015	1,312.86	13,911.0838	0.0944	Pass

Maximum Allowable Value: |Predicted Tolerance Limit t statistic| < 2.2622 for each variable studied.



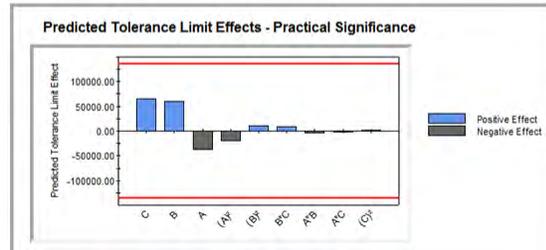
Absolute Predicted Tolerance Limit t statistic < 2.2622

Robustness Validation – Practical Significance Testing – Effects Magnitude

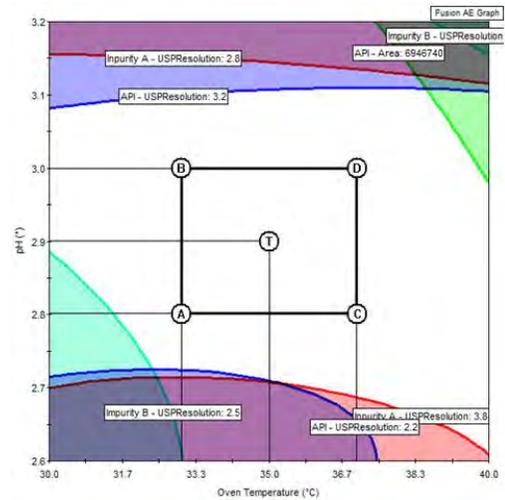
Variable Effects Table - Practical Significance

Model Term	Robustness Testing Range (Coded)	Coefficient Value	Predicted Effect	Pass/Fail
C	0.4000	161,391.4753	84,556.5901	Pass
B	0.8000	74,520.8782	59,616.7026	Pass
A	0.8000	-47,297.1750	-37,837.7400	Pass
(A) ²	0.1600	-124,093.0600	-19,854.8896	Pass
(B) ²	0.1600	64,847.5165	10,375.6026	Pass
B ² C	0.1600	50,247.7248	8,039.6360	Pass
A ² B	0.3200	-9,783.1120	-3,130.5958	Pass
A ² C	0.1600	-13,383.4646	-2,141.3543	Pass
(C) ²	0.0400	32,821.4015	1,312.8561	Pass

Maximum Allowable Difference from Mean: |Predicted Tolerance Limit Effect| < 136,200 for each variable studied.



Absolute Predicted Tolerance Limit Effect < 136,200

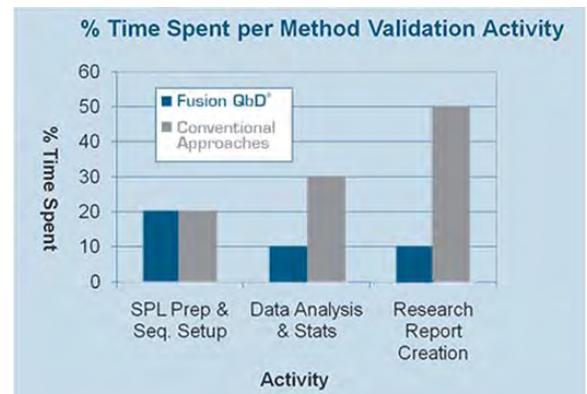


Automated LC Method Validation – Proven ROI

International Pharma Co. Benchmarking Project

Realized Time Savings = 85%.

Using historical records* and adjusting for project complexity



Minimum Expected Time Savings = 60%.

S-Matrix Software Products and Support

S-Matrix Corporation develops advanced Design of Experiment based-software that automates R&D experimental work according to Quality-by-Design principles and methodologies. S-Matrix's Fusion QbD platform automates and redefines experimentation in Analytical R&D, Chemical and Process R&D, Formulation, and Product R&D.

Fusion QbD Software System Product Suite

■ Fusion LC Method Development

Fully automated QbD experimenting on your LC system, integrated DOE, automated robustness simulation & chromatography data modeling. Chemistry screening without the need for peak tracking.

■ Fusion Analytical Method Validation

Meet regulatory guidelines with a best-practices approach toward LC method validation with comprehensive reporting. Also supports formal validation of Non-LC methods (e.g. GC, CE, Q-NMR).

■ Fusion Inhaler Testing

Create sampling plans, export and import data from your CDS via validated data exchange, calculate particle size distribution results, and generate reports according to USP 601, Ph.Eur. 2.9.18, and ISO 27427.

■ Fusion Product Development

The perfect QbD software for formulation & product development – automated experimental design selection, sophisticated analysis tools, including automated modeling and simulation, comprehensive reporting, with a full 21 CFR 11 compliance toolset.

Sales and Support

Sales: Tel: 800-336-8428 (Outside the USA: 707-441-0406). Email: Sales@smatrix.com

Customer Support: Tel: 707-441-0407. Fax: 707-441-0410. Email: Support@smatrix.com

On-site and Web Training

S-Matrix offers on-site training programs for installed systems. Training includes experiment strategies, experimental design (DOE), data analysis, graphical visualization and ranking of effects, numerical and graphical optimization, and QbD Reporting.

S-Matrix also offers interactive web training which covers software features and operation, along with general principles of DOE and QbD. Web training programs can be tailored to suit your individual focus and information requirements.

To arrange an on-site or web-based training program, call 707-441-0406.

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